

P24451.P01

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P24451

Total Pages

Inventor(s) or Application Identifier

Shinichiro ISHII

Title: VARI-FOCAL POLAR ALIGNMENT SCOPE

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

APPLICATION ELEMENTS

ACCOMPANYING APPLICATION PARTS

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
 - a. ☐ Small Entity Statement(s)
3. ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 10]
5. ☒ Oath or Declaration [Total Pages 3]
 - a. ☒ Newly executed (original or copy) ☐ Unexecuted
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 20 completed)
[Note Box 6 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Incorporation By Reference (useable if Box 5b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 5b, is
considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.
7. ☐ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-Rom or CD-R in duplicate, large table or Computer
Program (Appendix)

9. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies
10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
12. ☐ English Translation Document (if applicable)
13. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
16. ☒ Figure of Drawing to be published 13
17. ☒ Foreign priority claimed
 - a. ☒ Claim of Priority
 - b. ☒ Certified Copy of Priority Document(s)
18. ☐ Nonpublication Request under 35 U.S.C. 122 (b) (2) (B) (i).
Applicant must attach form PTO/SB/35 or its equivalent.
19. ☒ Assignee: PENTAX Corporation
20. ☐ Other: _____

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No. _____ / _____, filed _____
 Prior application information: Examiner: _____ Group Art Unit: _____
Address all future correspondence to **Customer No. 7055** at the present address of:GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-11911/20/04
Date

 Signature
 33,329
Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name

FEE TRANSMITTAL

| | |
|----------------------|-----------------------|
| Application Number | Not Yet Assigned |
| Filing Date | Concurrently Herewith |
| First Named Inventor | S. ISHII |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |

TOTAL AMOUNT OF PAYMENT (\$810.00)

Attorney Docket Number P24451

METHOD OF PAYMENT (check one)

1. ☒ The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)
- ☐ Applicant Claims Small Entity Status See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION (fees effective 10/01/03)

1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001 | 770 | 2001 | 385 | Utility filing fee | 770.00 |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$) 770.00

2. CLAIMS

| Total Claims | Extra | Fee from below | Fee Paid |
|---------------------------|-------|----------------|----------|
| 4 | -20= | 0 | 0.00 |
| 1 | -3= | 0 | 0.00 |
| Multiple Dependent Claims | 0 | 290 | 0.00 |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|----------------|-----------------|---|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim |
| 1204 | 86 | 2204 | 43 | Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR | |
| 1805 | 1,840* | 1805 | 1,840* | Prior to Examiner action | |
| 1251 | 110 | 2251 | 55 | Requesting publication of SIR after Examiner action | |
| 1252 | 420 | 2252 | 210 | Extension for response within 1st month | |
| 1253 | 950 | 2253 | 475 | Extension for response within 2nd month | |
| 1254 | 1,480 | 2254 | 740 | Extension for response within 3rd month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for response within 4th month | |
| 1401 | 330 | 2401 | 165 | Extension for response within 5th month | |
| 1402 | 330 | 2402 | 165 | Notice of Appeal | |
| 1403 | 290 | 2403 | 145 | Filing a brief in support of an appeal | |
| 1451 | 1,510 | 1451 | 1,510 | Request for oral hearing | |
| 1452 | 110 | 2452 | 55 | Petition to institute a public use proceeding | |
| 1453 | 1,330 | 2453 | 665 | Petition to institute a public use proceeding | |
| 1501 | 1,330 | 2501 | 665 | Petition to revive unavoidably abandoned application | |
| 1502 | 480 | 2502 | 240 | Petition to revive unintentionally abandoned application | |
| 1503 | 640 | 2503 | 320 | Utility issue fee (or reissue) | |
| 1460 | 130 | 1460 | 130 | Design issue fee | |
| 1807 | 50 | 1807 | 50 | Plant issue fee | |
| 1806 | 180 | 1806 | 180 | Petitions to the Commissioner | |
| 8021 | 40 | 8021 | 40 | Petitions related to provisional applications | |
| 1809 | 770 | 2809 | 385 | Submission of IDS | |
| 1810 | 770 | 2810 | 385 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 1801 | 770 | 2801 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1802 | 900 | 1802 | 900 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| | | | | Request for Continued Examination (RCE) | |
| | | | | Request for expedited examination of a design application | |

Other fee (specify)

Other fee (specify)

SUBTOTAL (3) (\$) 40.00

*Reduced by Basic Filing Fee paid

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name Bruce H. Bernstein

Reg. Number

29,027

Signature

Date

1/20/04

Deposit Account User ID